

ANNUAL REPORT 2022

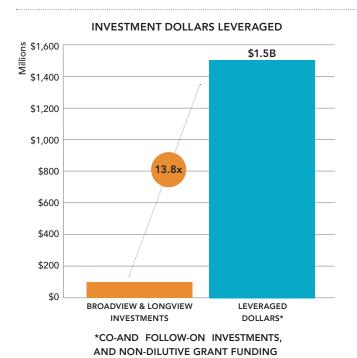
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2022 PORTFOLIO MOMENTUM

- Broadview's portfolio companies have touched the lives of more than 380,000 patients to date
- The pipeline remains robust with more than 600 investment opportunities considered in 2022
- Our portfolio companies raised \$134M in co-investments, follow-on financings, and grants
- Our co-investors in 2022 included: Ajax Health, Aperture Venture Partners, BayMed Venture Partners, Boreal Ventures, Cleveland Clinic Ventures, Epidarex Capital, Grant Park Ventures, Hatteras Venture Partners, Laerdal Million Lives Fund, Vensana Capital, and multiple strategic investors
- Exit: NIDO Surgical was acquired by an undisclosed medical device company to further advance NIDO's epicardial ablation technology

NEW INVESTMENTS

- **Puzzle Medical**—percutaneous heart pump for patients with advanced heart failure
- Nyra Medical—transcatheter implant to treat mitral valve regurgitation
- **Cardiosense**—noninvasive sensors and algorithms for heart failure management



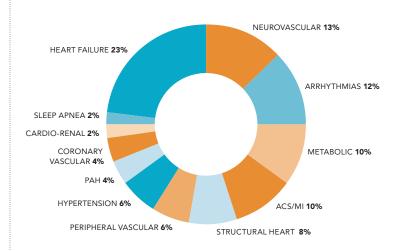
Longview Ventures is dedicated to making follow-on investments in select Broadview portfolio companies, with a focus on clinical-stage companies, Series B— Series C, as a complement to Broadview's continued dedication to Seed and Series A financings.

INVESTMENTS

- AtaCor Series B-1 to continue development of the Company's extracardiac pacing system and to complete a 90-day ICD study
- Aria CV financing to continue clinical development of its counterpulsation system for PAH

EXITS

Renovacor (NYSE: RCOR) was acquired by Rocket Pharma (NASDAQ: RCKT) to continue the development of Renovacor's AAV-based gene therapy for BAG3associated dilated cardiomyopathy.



INVESTMENTS BY INDICATION

Our mission is to accelerate the development of promising technology for the diagnosis and treatment of cardiovascular disease and stroke through targeted investments.

TALENT

Michael Mendelsohn, MD, joined Broadview's Strategic Advisory Board. Dr. Mendelsohn is an internationally recognized cardiovascular physicianscientist who previously was Global Head of Cardiovascular Diseases at Merck and Chief Scientific Officer at Tufts Medical Center. He is currently the Founder and Chairman of the Board of Cardurion Pharmaceuticals. Maria Berkman, MD was promoted to Managing Director of Longview Ventures. In her expanded role, Maria will focus on Longview Ventures strategy and evolution, working closely with the Leducq Trust and Longview Investment Advisory Committee to drive success and impact across the Longview portfolio; she will also continue in her role as Head of MedTech at Broadview. Khushbu Modi joined the Broadview investment team as an Executive Assistant. Most recently, Khushbu worked in the corporate wellness industry where she was responsible for directing operations for multiple businesses while also serving as a coach and mentor.

INVESTMENTS THROUGH 2022

Company	Technology/Therapy	Founders/Management
2022		
Puzzle Medical	percutaneous heart pump for patients with advanced HF	Jade Doucet-Martineau, Gabriel Georges, Francois Trudeau
Nyra Medical	transcatheter implant to treat mitral valve regurgitation	Murali Padala, Eric Sarin
Cardiosense	noninvasive sensors and algorithms for heart failure management	Amit Gupta, Omer Inan, Mozziyar Etemadi, Andrew Carek
2021		
XII Medical	minimally-invasive technology to address obstructive sleep apnea	Garrett Schwab, Anthony Caparso
RapidPulse	aspiration system to treat acute ischemic stroke	Sean McBrayer, David Gill, Carlos Rivera
Comanche BioPharma	siRNA therapeutic for the treatment of preeclampsia	T. Scott Johnson, Mike Young, Craig Mello
2020		
Antag Therapeutics	GIPR antagonist for postural orthostatic tachycardia syndrome	Alexander Sparre-Ulrich, Mette Rosenkilde, Jens Juul Holst
Basking Biosciences	reversible anti-vWF aptamer for acute ischemic stroke	Richard Shea, Shahid Nimjee, Bruce Sullenger
HAYA Therapeutics	ncRNA-targeting antifibrotic therapeutics for heart failure	Samir Ounzain, Daniel Blessing
2019		
Alleviant Medical	atrial shunt for the treatment of heart failure	Jacob Kriegel, Adam Berman, Mary Byron
Renovacor*	gene therapy for a rare, familial form of dilated cardiomyopathy	Maggie Cook, Arthur Feldman
CroíValve	percutaneously implanted device for tricuspid regurgitation	Lucy O'Keeffe, Martin Quinn
2018		
AtaCor Medical	leadless extravascular pacing and defibrillation system	Rick Sanghera, Sean McGeehan, Alan Marcovecchio
Kantum Pharma	P2Y14 antagonists to ameliorate and prevent sterile inflammation	Sylvie Breton, Glenn Batchelder
2017		
NIDO Surgical*	device for epicardial ablation of ventricular tachycardia	Pedro del Nido, Nikolay Vasilyev, Thomas Marchand
Mellitus	novel biomarker for the screening and diagnosis of gestational diabetes	Jose Halperin, Michael Chorev, John Tagliamonte
Adient*	bioresorbable IVC filter for prevention of pulmonary embolism	Mitch Eggers, Joseph Steele
Vectorious	direct left atrial pressure sensor	Oren Goldshtein, Eyal Orion
EP Sciences	novel defibrillation waveform to reduce pain associated with ICD therapies	Ron Berger, Howard Levin

INVESTMENTS THROUGH 2022

Company	Technology/Therapy	Founders/Management
2016		
FineHeart	fully implantable, miniaturized circulatory support device for HF	Arnaud Mascarell, Stephane Garrique, Jean-Luc Boulnois
Gila Therapeutics	PYY agonist for management and treatment of obesity	Tom Vasicek, Scott Schorer
Aeromics	small molecule for stroke-related cerebral edema	Tom Zindrick, Trevor Thompson
2015		
Pulmokine*	small molecule for pulmonary artery hypertension	Larry Zisman, Shreefal Mehta
Lyra Therapeutics*	bioresorbable platform for multiple indications	Bob Langer, George Whitesides, Maria Palasis
Epirium (Cardero)	mitochondrial biogenesis platform technology	George Schreiner, Sundeep Dugar, Russell Cox
2014		
ZZ Biotech	peptide therapeutic for stroke	Berislav Zlokovic, Kent Pryor, Joseph Romano
Ischemia Care	gene-based diagnostic for stroke	Jeff June
Acesion Pharma	small molecule therapeutic for AF	Ulrik Sørensen, Morten Grunnet, Jørgen Søberg Petersen
Aria CV	device for pulmonary hypertension	John Scandurra, Karl Vollmers, Dan Gladney
2013		
Zumbro Discovery	peptide therapeutic for treatment of resistant hypertension	John Burnett, Horng Chen
BioKier	small molecule anti-diabetic treatment	George Szewczyk, Roger Nolan
Remedy*	small molecule for the treatment of central nervous system edema	Sven Jacobson
GI Windows*	non-surgical approach to gastric bypass	Chris Thompson, Marvin Ryou
2012		
VGS	external support for saphenous vein grafts in CABG	Eyal Orion, Steven Motes
InfoBionic	cloud-based remote arrhythmia monitoring	Jeremy Ruskin, Stuart Long
Herantis*	VEGF based gene therapy for lymphedema	Craig Cook
DecImmune	MAb for treatment of post MI and I/R injury	Christopher Mirabelli, Walter Newman
2011		
Cardialen	implantable cardioversion for the treatment of AF	Igor Efimov, Jeff Peters
NuPulse	counterpulsation device for the treatment of HF	Valluvan Jeevanandam, Douglas Altschuler, Sonna Patel-Rama
Aggamin	sFlt-1 apheresis treatment for preeclampsia	Ravi Thadhani, Paul Kussie, Ananth Karumanchi
Provasculon*	peptide therapeutics for treatment of MI and I/R injury	Rich Lee, Roger Kitterman
Apama*	novel ablation system for treatment of AF	Amr Salahieh
2010		
Allosteros	CaMKII inhibitors for HF and AF	Howard Schulman, Mark Anderson
Intravascular Imaging		Farouc Jaffer, Vasilis Ntziachristos
Capricor*	allogeneic stem cell therapy after MI	Eduardo and Linda Marbán
CellAegis	remote ischemic conditioning for MI	Andrew Redington, Chris Calderone
2009		
CardiAQ Valve*	transcatheter mitral valve	Brent Ratz, Arshad Quadri, Rob Michiels
VentriNova	gene therapy for regeneration of cardiac tissue	Hina Chaudhry
miRagen*	microRNAs for cardiovascular and oncology indications	Bruce Booth, Mike Bristow, Eric Olson



MANAGEMENT

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Annabel Chen-Tournoux, MD Teo Dagi, MD Marc Semigran, MD Viviany Taqueti, MD, MPH Sunny Varshney, MD



MANAGEMENT

Maria Berkman, MD Managing Director

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