

2021 PORTFOLIO MOMENTUM

- The Broadview pipeline remains robust with more than 400 investment opportunities considered in 2021
- Our portfolio companies raised \$227M in co-investments, follow-on financings, and grants
- This year, across our portfolio, our co-investors included 683 Capital, Acorn BioVentures, Affinity Asset Advisors, Ajax Health, Altium Capital, Aperture Venture Partners, Apollo Health Ventures, Atlantic Bridge, Chardan Healthcare Investments, Cleveland Clinic Ventures, Epidarex Capital, F-Prime, GV, Hatteras Venture Partners, Humbolt Fund, Ikarian Capital, Innogest Capital, JMCR, Lilly Asia Ventures, Population Health Ventures, RTW Investments, Santé Ventures, Schroder Adveq, Sio Capital Management, SOSV, Southern Ocean Capital, and Surveyor Capital
- The technologies of Broadview’s portfolio companies have touched the lives of more than 190,000 patients to date

NEW INVESTMENTS

- XII Medical—Minimally-invasive technology to address obstructive sleep apnea (OSA)
- RapidPulse—Novel aspiration system to treat acute ischemic stroke
- Comanche BioPharma—Novel siRNA therapeutic for the treatment of preeclampsia



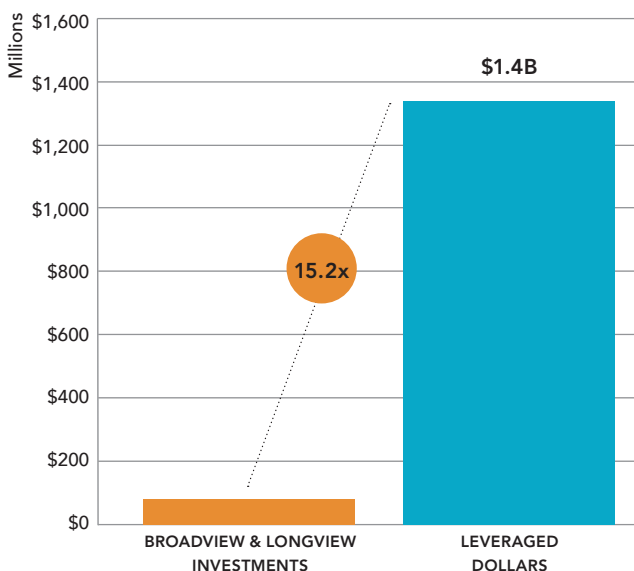
Longview Ventures, a \$100M fund dedicated to making follow-on investments in select Broadview portfolio companies, made the following investment:

- Renovacor—Longview participated in a \$30M PIPE as part of Renovacor’s merger with Chardan Healthcare Acquisition 2. Renovacor, which is developing adeno-associated virus (AAV) based gene therapies for a rare, familial form of dilated cardiomyopathy, raised a total of \$95M and is trading on the New York Stock Exchange (NYSE) under the ticker symbol RCOR.

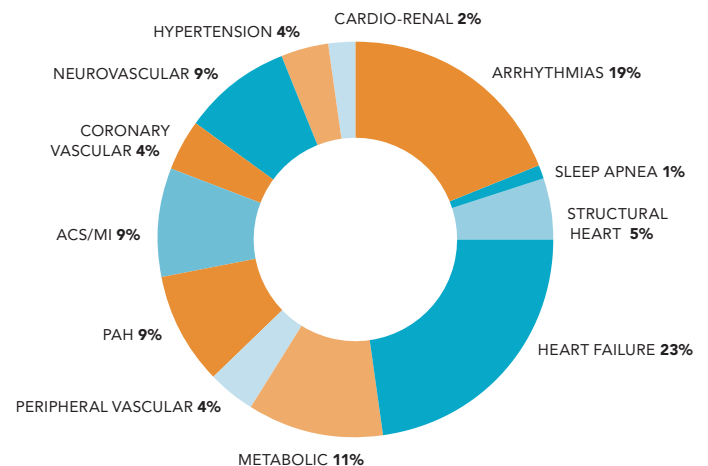
TALENT

David Prim joined the Broadview investment team as a Senior Associate. Most recently, David was a Senior Associate at Locust Walk, where he led engagements for biopharma and medtech clients guiding corporate development strategy and supporting sell-side, buy-side, and financing deal execution. David earned a PhD in Biomedical Engineering from the University of South Carolina, where his research focused on vascular grafting.

BROADVIEW AND LONGVIEW’S INVESTMENTS ARE LEVERAGED 15.2X BY ADDITIONAL FUNDING SOURCES



BROADVIEW INVESTMENTS BY INDICATION



Our mission is to accelerate the development of promising technology for the diagnosis and treatment of cardiovascular and neurovascular diseases through targeted investments.

INVESTMENTS THROUGH 2021

| Company | Technology/Therapy | Founders/Management |
|---------------------|---|--|
| 2021 | | |
| XII Medical | <i>minimally-invasive technology to address obstructive sleep apnea (OSA)</i> | Garrett Schwab, Anthony Caparso |
| RapidPulse | <i>novel aspiration system to treat acute ischemic stroke</i> | Sean McBrayer, David Gill, Carlos Rivera |
| Comanche BioPharma | <i>novel siRNA therapeutic for the treatment of preeclampsia</i> | T. Scott Johnson, Mike Young, Craig Mello |
| 2020 | | |
| Antag Therapeutics | <i>GIPR antagonist for obesity in T2DM population</i> | Alexander Sparre-Ulrich, Mette Rosenkilde, Jens Juul Holst |
| Basking Biosciences | <i>reversible anti-vWF aptamer for acute ischemic stroke</i> | Richard Shea, Shahid Nimjee, Bruce Sullenger |
| HAYA Therapeutics | <i>ncRNA-targeting antifibrotic therapeutics for heart failure</i> | Samir Ounzain, Daniel Blessing |
| 2019 | | |
| Alleviant Medical | <i>atrial shunt for the treatment of heart failure</i> | Jacob Kriegel, Adam Berman |
| Renovacor | <i>gene therapy for a rare, familial form of dilated cardiomyopathy</i> | Maggie Cook, Arthur Feldman |
| CroíValve | <i>percutaneously implanted device for tricuspid regurgitation</i> | Lucy O’Keeffe, Martin Quinn |
| 2018 | | |
| AtaCor Medical | <i>leadless extravascular pacing and defibrillation system</i> | Rick Sanghera, Sean McGeehan, Alan Marcovecchio |
| Kantum Pharma | <i>P2Y14 antagonists to ameliorate and prevent sterile inflammation</i> | Sylvie Breton, Glenn Batchelder |
| 2017 | | |
| Nido | <i>device for epicardial ablation of ventricular tachycardia</i> | Pedro del Nido, Nikolay Vasilyev, Thomas Marchand |
| Mellitus | <i>novel biomarker for the screening and diagnosis of gestational diabetes</i> | Jose Halperin, Michael Chorev, John Tagliamonte |
| Adient* | <i>bioresorbable IVC filter for prevention of pulmonary embolism</i> | Mitch Eggers, Joseph Steele |
| Vectorious | <i>direct left atrial pressure sensor</i> | Oren Goldshtein, Eyal Orion |
| EP Sciences | <i>novel defibrillation waveform to reduce pain associated with ICD therapies</i> | Ron Berger, Howard Levin |
| 2016 | | |
| FineHeart | <i>fully implantable, miniaturized circulatory support device for HF</i> | Arnaud Mascarell, Stephane Garrigue, Jean-Luc Boulnois |
| Gila Therapeutics | <i>PYY agonist for management and treatment of obesity</i> | Tom Vasicek, Scott Schorer |
| Aeromics | <i>small molecule for stroke-related cerebral edema</i> | Tom Zindrick, Trevor Thompson |
| 2015 | | |
| Pulmokine* | <i>small molecule for pulmonary artery hypertension</i> | Larry Zisman, Shreefal Mehta |
| Lyra Therapeutics | <i>bioresorbable platform for multiple indications</i> | Bob Langer, George Whitesides, Maria Palasis |
| Epirium | <i>mitochondrial biogenesis platform technology</i> | George Schreiner, Sundeep Dugar, Russell Cox |
| 2014 | | |
| ZZ Biotech | <i>peptide therapeutic for stroke</i> | Berislav Zlokovic, Kent Pryor, Joseph Romano |
| Ischemia Care | <i>gene-based diagnostic for stroke</i> | Jeff June |
| Acesion Pharma | <i>small molecule therapeutic for AF</i> | Ulrik Sørensen, Morten Grunnet, Jørgen Søberg Petersen |
| Aria CV | <i>device for pulmonary hypertension</i> | John Scandurra, Karl Vollmers, Dan Gladney |

* Exited

INVESTMENTS THROUGH 2021

| Company | Technology/Therapy | Founders/Management |
|---------|--------------------|---------------------|
|---------|--------------------|---------------------|

2013

| | | |
|------------------|---|------------------------------|
| Zumbro Discovery | <i>peptide therapeutic for treatment of resistant hypertension</i> | John Burnett, Horng Chen |
| BioKier | <i>small molecule anti-diabetic treatment</i> | George Szewczyk, Roger Nolan |
| Remedy* | <i>small molecule for the treatment of central nervous system edema</i> | Sven Jacobson |
| GI Windows* | <i>non-surgical approach to gastric bypass</i> | Chris Thompson, Marvin Ryou |

2012

| | | |
|------------|---|--------------------------------------|
| VGS | <i>external support for saphenous vein grafts in CABG</i> | Eyal Orion |
| InfoBionic | <i>cloud-based remote arrhythmia monitoring</i> | Jeremy Ruskin, Stuart Long |
| Herantis | <i>VEG-F based gene therapy for lymphedema</i> | Craig Cook |
| Declmmune | <i>MAB for treatment of post MI and I/R injury</i> | Christopher Mirabelli, Walter Newman |

2011

| | | |
|--------------|--|---|
| Cardialen | <i>implantable cardioversion for the treatment of AF</i> | Igor Efimov, Jeff Peters |
| NuPulse | <i>counterpulsation device for the treatment of HF</i> | Valluvan Jeevanandam, Douglas Altschuler |
| Aggamin | <i>novel preeclampsia treatment</i> | Ravi Thadhani, Paul Kussie, Ananth Kamuranchi |
| Provasculon* | <i>peptide therapeutics for treatment of MI and I/R injury</i> | Rich Lee, Roger Kitterman |
| Apama* | <i>novel ablation system for treatment of AF</i> | Amr Salahieh |

2010

| | | |
|-----------------------|---|--------------------------------------|
| Allosteros | <i>CaMKII inhibitors for HF and AF</i> | Howard Schulman, Mark Anderson |
| Intravascular Imaging | <i>NIRF device for intracoronary artery imaging</i> | Farouc Jaffer, Vasilis Ntziachristos |
| Capricor* | <i>allogeneic stem cell therapy after MI</i> | Eduardo and Linda Marbán |
| CellAegis | <i>remote ischemic conditioning for MI</i> | Andrew Redington, Chris Calderone |

2009

| | | |
|----------------|--|---|
| CardiAQ Valve* | <i>transcatheter mitral valve</i> | Brent Ratz, Arshad Quadri, Rob Michiels |
| VentriNova | <i>gene therapy for regeneration of cardiac tissue</i> | Hina Chaudhry |
| miRagen* | <i>microRNAs for cardiovascular and oncology indications</i> | Bruce Booth, Mike Bristow, Eric Olson |

* Exited



BROADVIEW VENTURES TEAM

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Christopher Colecchi
Managing Director

Maria Berkman, MD
Christopher de Souza, PhD
Daniel Gottlieb
Benjamin Kreitman
Hewmun Lau
David Milan, MD
Nichole Neal
Tom Needham
David Prim, PhD
Sunny Varshney, MD

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Viviany Taqueti, MD, MPH

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