

## 2020 PORTFOLIO MOMENTUM

- Our portfolio companies raised \$318M in co-investments, follow-on financings, and grants
- The technologies of Broadview's portfolio companies have touched the lives of more than 180,000 patients to date
- Our co-investors included Novo Seeds, Vensana Capital, Hatteras Venture Partners, Polaris Partners, Xeraya Capital, aMoon Fund, Acorn BioVentures, Danish Growth Fund, BioStar Capital, Catalyst Health Ventures, S3 Ventures, Rev1 Ventures, Viva BioInnovator, BERNINA BioInvest, and 4See Ventures
- The Broadview pipeline remains robust with more than 400 investment opportunities considered in 2020

## NEW INVESTMENTS

- **Antag Therapeutics**—Glucose-dependent insulinotropic peptide receptor antagonist for inducing weight loss in patients with obesity and Type 2 Diabetes
- **Basking Biosciences**—Reversible aptamer targeting von Willebrand Factor for acute ischemic stroke thrombolysis
- **HAYA Therapeutics**—Long noncoding RNA-targeting antifibrotic therapeutics for heart failure



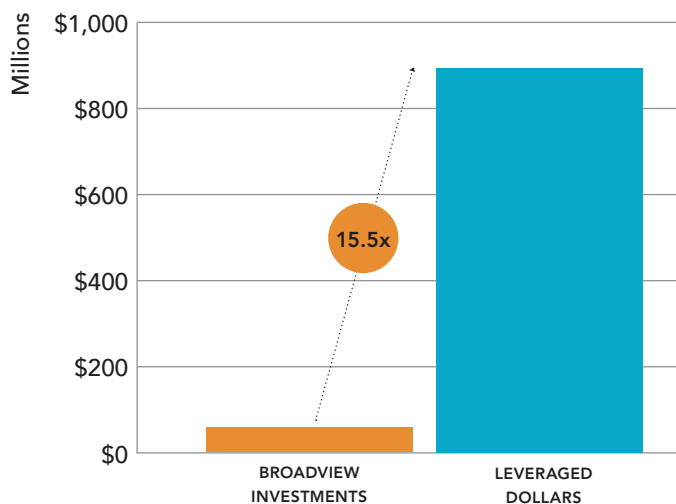
Longview Ventures, a \$100M fund dedicated to making follow-on investments in select Broadview portfolio companies, made two investments:

- **AtaCor Medical**—Extravascular pacing and defibrillation system; raised \$25M Series B for continued clinical development
- **Alleviant Medical**—Implant-free interatrial shunt system; raised \$20M Series B to fund first-in-human and additional clinical studies

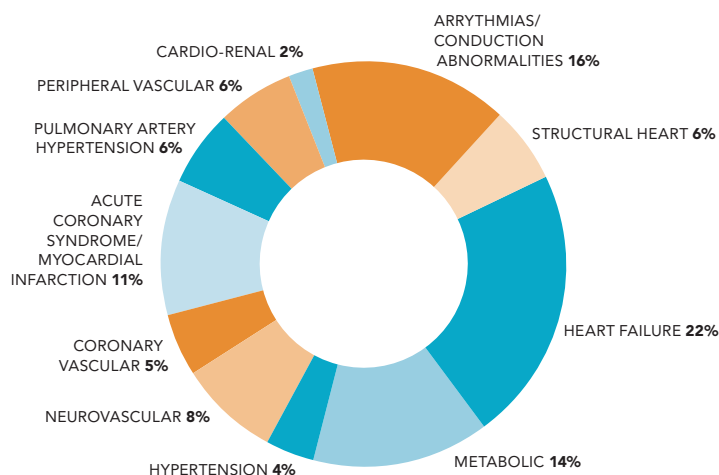
## TALENT

We are pleased to announce the appointment of **Hugh Watkins, MD, PhD**, to the Broadview Ventures Strategic Advisory Board. Hugh is the Radcliffe Professor of Medicine and Head of the Radcliffe Department of Medicine at the University of Oxford and Honorary Consultant in Cardiology at John Radcliffe Hospital.

**BROADVIEW'S INVESTMENTS ARE LEVERAGED 15.5X BY CO-INVESTMENTS, FOLLOW-ON FINANCINGS, AND GRANT FUNDING**



**BROADVIEW INVESTMENTS BY INDICATION**



# INVESTMENTS THROUGH 2020

Company	Technology/Therapy	Founders/Management
<b>2020</b>		
Antag Therapeutics	<i>GIPR antagonist for obesity in T2DM population</i>	Alexander Sparre-Ulrich, Mette Rosenkilde, Jens Juul Holst
Basking Biosciences	<i>reversible anti-vWF aptamer for acute ischemic stroke</i>	Richard Shea, Shahid Nimjee, Bruce Sullenger
HAYA Therapeutics	<i>lncRNA-targeting antifibrotic therapeutics for heart failure</i>	Samir Ounzain, Daniel Blessing, Robert Williamson
<b>2019</b>		
Alleviant Medical	<i>atrial shunt for the treatment of heart failure</i>	Jacob Kriegel, Adam Berman
Renovacor	<i>gene therapy for a rare, familial form of dilated cardiomyopathy</i>	Maggie Cook, Arthur Feldman
CroValve	<i>percutaneously implanted device for tricuspid regurgitation</i>	Lucy O'Keeffe, Martin Quinn
<b>2018</b>		
AtaCor Medical	<i>leadless extracardiac pacing system for bradycardia</i>	Rick Sanghera, Sean McGeehan, Alan Marcovecchio
Kantum Pharma	<i>P2Y14 antagonists to ameliorate and prevent sterile inflammation</i>	Sylvie Breton, Glenn Batchelder
<b>2017</b>		
Nido	<i>device for epicardial ablation of ventricular tachycardia</i>	Pedro del Nido, Nikolay Vasilyev, Thomas Marchand
Mellitus	<i>novel biomarker for the screening and diagnosis of gestational diabetes</i>	Jose Halperin, Michael Chorev
Adient	<i>bioresorbable IVC filter for prevention of pulmonary embolism</i>	Mitch Eggers, Joseph Steele
Vectorious	<i>miniature wirelessly-powered implantable left atrial pressure sensor</i>	Oren Goldshtein, Eyal Orion
EP Sciences	<i>novel defibrillation waveform to reduce pain associated with ICD therapies</i>	Ron Berger, Howard Levin
<b>2016</b>		
FineHeart	<i>fully implantable, miniaturized circulatory support device for HF</i>	Arnaud Mascarell, Stephane Garrigue, Jean-Luc Boulnois
Gila Therapeutics	<i>PYY agonist for management and treatment of obesity</i>	Tom Vasicek
Aeromics	<i>novel drug candidate for stroke-related cerebral edema</i>	Tom Zindrick, Trevor Thompson, Marc Pelletier
<b>2015</b>		
Pulmokine*	<i>small molecule for pulmonary artery hypertension</i>	Larry Zisman, Shreefal Mehta
Lyra Therapeutics	<i>bioresorbable stent for multiple indications</i>	Bob Langer, George Whitesides, Maria Palasis
Epirium	<i>mitochondrial biogenesis platform technology</i>	George Schreiner, Sundeeep Dugar, Russell Cox
<b>2014</b>		
ZZ Biotech	<i>peptide therapeutic for stroke</i>	Berislav Zlokovic, Kent Pryor, Joseph Romano
Ischemia Care	<i>gene-based diagnostic for stroke</i>	Jeff June
Acesion Pharma	<i>small molecule therapeutic for AF</i>	Ulrik Sørensen, Morten Grunnet, Jørgen Søberg Petersen
Aria CV	<i>device for pulmonary hypertension</i>	John Scandurra, Karl Vollmers, Dan Gladney
<b>2013</b>		
Zumbro Discovery	<i>peptide therapeutic for treatment of resistant hypertension</i>	John Burnett, Horng Chen
BioKier	<i>small molecule anti-diabetic treatment</i>	George Szewczyk, Roger Nolan
Remedy*	<i>small molecule for the treatment of central nervous system edema</i>	Sven Jacobson
GI Windows*	<i>non-surgical approach to gastric bypass</i>	Chris Thompson, Marvin Ryou
<b>2012</b>		
VGS	<i>external support for saphenous vein grafts in CABG</i>	Eyal Orion
InfoBionic	<i>cloud-based remote arrhythmia monitoring</i>	Jeremy Ruskin, Stuart Long
Herantis	<i>VEG-F based gene therapy for lymphedema</i>	Craig Cook
DeclImmune	<i>MAb for treatment of post MI and I/R injury</i>	Christopher Mirabelli, Walter Newman
<b>2011</b>		
Cardialen	<i>implantable cardioversion for the treatment of AF</i>	Igor Efimov, Jeff Peters
NuPulse	<i>counterpulsation device for the treatment of HF</i>	Valluvan Jeevanandam, Douglas Altschuler
Aggamin	<i>novel preeclampsia treatment</i>	Ravi Thadhani, Paul Kussie, Ananth Kamuranchi
Provasculon*	<i>peptide therapeutics for treatment of MI and I/R injury</i>	Rich Lee, Roger Kitterman
Apama*	<i>novel ablation system for treatment of AF</i>	Amr Salahieh
<b>2010</b>		
Allosteros	<i>CaMKII inhibitors for HF and AF</i>	Howard Schulman, Mark Anderson
Intravascular Imaging	<i>NIRF device for intracoronary artery imaging</i>	Farouc Jaffer, Vasilis Ntziachristos
Capricor*	<i>allogeneic stem cell therapy after MI</i>	Eduardo and Linda Marbán
CellAegis	<i>remote ischemic conditioning for MI</i>	Andrew Redington, Chris Calderone, Rocky Ganske
<b>2009</b>		
CardiAQ Valve*	<i>transcatheter mitral valve</i>	Brent Ratz, Arshad Quadri, Rob Michiels
VentriNova	<i>gene therapy for regeneration of cardiac tissue</i>	Hina Chaudhry
miRagen*	<i>microRNAs for cardiovascular and oncology indications</i>	Bruce Booth, Mike Bristow, Eric Olson

\* Exited



## BROADVIEW VENTURES TEAM

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Christopher Colecchi  
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Benjamin Kreitman  
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Eric Rose, MD  
David Tancredi, MD, PhD  
Hugh Watkins, MD, PhD



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