

2019 PORTFOLIO MOMENTUM

- The Broadview pipeline remains robust with more than 500 investment opportunities considered in 2019
- Our portfolio companies raised \$122M in co-investments, follow-on financings, and grants
- This year, across our portfolio, our co-investors included ARCH Venture Partners, Longitude Capital, Longevity Fund, Novartis Venture Fund, New Leaf Ventures, Innogest Capital, Abiomed, and S3 Ventures
- The technologies of Broadview's portfolio companies have touched the lives of more than 100,000 patients to date

NEW INVESTMENTS

- **Alleviant Medical**—atrial shunt for the treatment of heart failure
- **Renovacor**—gene therapy for a rare, familial form of dilated cardiomyopathy
- **CroíValve**—percutaneously implanted device to restore tricuspid valve function



Last year, the Leducq Trust launched Longview Ventures, a \$100M fund dedicated to making follow-on investments in select Broadview portfolio companies. Longview will focus on clinical-stage companies to complement Broadview's continued dedication to early-stage financings. This year, we made two Longview investments:

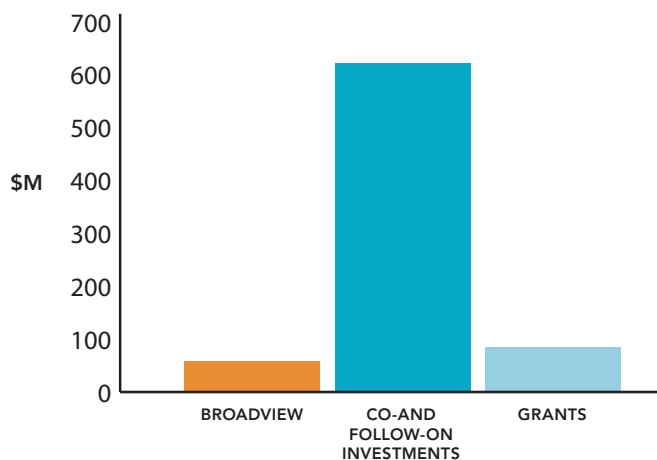
- **FineHeart**—fully implantable, miniaturized circulatory support device for heart failure
- **Aria CV**—device for pulmonary artery hypertension

TALENT

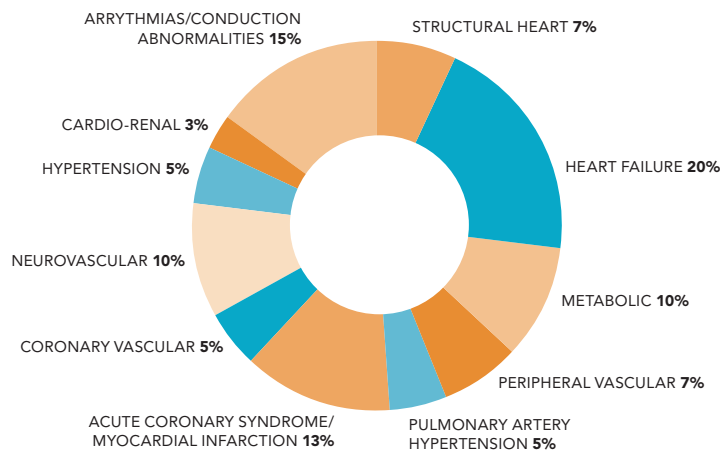
Hewmun Lau joined the Broadview investment team as a Principal, with 10 years of life science experience across business development, commercial operations, consulting and R&D. Most recently, she was Director of Corporate Development at Merrimack Pharmaceuticals. Hewmun holds a BS from Brandeis University, an MS from the Harvard-MIT Program in Health Sciences in Technology, and an MBA from MIT's Sloan School of Management.

Daniel Gottlieb joined the Broadview investment team as an Associate Director, with 20 years of medical device and biotechnology experience in corporate and business development, corporate venture capital, marketing and strategy. Most recently, he was Vice President, Corporate Development at Proteon Therapeutics. Daniel holds a BA from the University of Pennsylvania and an MBA from the Tuck School of Business at Dartmouth College.

BROADVIEW'S INVESTMENTS ARE LEVERAGED 12X BY CO-AND FOLLOW-ON INVESTMENTS, AND NON-DILUTIVE GRANT FUNDING



BROADVIEW INVESTMENTS BY INDICATION



INVESTMENTS THROUGH 2019

Company	Technology/Therapy	Founders/Management
2019		
Alleviant Medical	<i>atrial shunt for the treatment of heart failure</i>	Jacob Kriegel, Adam Berman
Renovacor	<i>gene therapy for a rare, familial form of dilated cardiomyopathy</i>	Maggie Cook, Arthur Feldman
CroíValve	<i>percutaneously implanted device to restore tricuspid valve function</i>	Lucy O'Keeffe, Martin Quinn
2018		
AtaCor Medical	<i>leadless extravascular pacing system for bradycardia</i>	Rick Sanghera, Sean McGeehan, Alan Marcovecchio
Kantum Pharma	<i>small molecule therapeutic for cardiac surgery-associated AKI</i>	Sylvie Breton, Glenn Batchelder
2017		
Nido	<i>device for epicardial ablation of ventricular tachycardia</i>	Pedro del Nido, Nikolay Vasilyev, Thomas Marchand
Mellitus	<i>novel biomarker for the screening and diagnosis of gestational diabetes</i>	Jose Halperin, Michael Chorev
Adient	<i>bioresorbable IVC filter for prevention of pulmonary embolism</i>	Mitch Eggers, Joseph Steele
Vectorious	<i>miniature wirelessly-powered implantable left atrial pressure sensor</i>	Oren Goldshtein, Eyal Orion
EP Sciences	<i>novel defibrillation waveform to reduce pain associated with ICD therapies</i>	Ron Berger, Howard Levin
2016		
FineHeart	<i>fully implantable, miniaturized circulatory support device for HF</i>	Arnaud Mascarell, Stephane Garrigue, Jean-Luc Boulnois
Gila Therapeutics	<i>PYY agonist for management and treatment of obesity</i>	Tom Vasicek
Aeromics	<i>novel drug candidate for stroke-related cerebral edema</i>	Tom Zindrick, Trevor Thompson, Marc Pelletier
2015		
Pulmokine*	<i>small molecule for pulmonary artery hypertension</i>	Larry Zisman, Shreefal Mehta
Lyra Therapeutics	<i>bioresorbable stent for multiple indications</i>	Bob Langer, George Whitesides, Maria Palasis
Epirium	<i>mitochondrial biogenesis platform technology</i>	George Schreiner, Sundeep Dugar, Russell Cox
2014		
ZZ Biotech	<i>peptide therapeutic for stroke</i>	Berislav Zlokovic, Kent Pryor, Joseph Romano
Ischemia Care	<i>gene-based diagnostic for stroke</i>	Jeff June
Acesion Pharma	<i>small molecule therapeutic for AF</i>	Ulrik Sørensen, Morten Grønnet, Frans Wuite
Aria CV	<i>device for pulmonary hypertension</i>	John Scandurra, Karl Vollmers, Dan Gladney
2013		
Zumbro Discovery	<i>peptide therapeutic for treatment of resistant hypertension</i>	John Burnett, Hong Chen
BioKier	<i>small molecule anti-diabetic treatment</i>	George Szweczyk, Roger Nolan
Remedy*	<i>small molecule for the treatment of central nervous system edema</i>	Sven Jacobson
GI Windows*	<i>non-surgical approach to gastric bypass</i>	Chris Thompson, Marvin Ryou
2012		
VGS	<i>external support for saphenous vein grafts in CABG</i>	Eyal Orion
InfoBionic	<i>cloud-based remote arrhythmia monitoring</i>	Jeremy Ruskin, Stuart Long
Herantis	<i>VEG-F based gene therapy for lymphedema</i>	Pekka Simula
Declmmune	<i>MAb for treatment of post MI and I/R injury</i>	Christopher Mirabelli, Walter Newman
2011		
Cardialen	<i>implantable cardioversion for the treatment of AF</i>	Igor Efimov, Jeff Peters
NuPulse	<i>counterpulsation device for the treatment of HF</i>	Valluvan Jeevanandam, Douglas Altschuler
Aggamin	<i>novel preeclampsia treatment</i>	Ravi Thadhani, Paul Kussie, Ananth Kamuranchi
Provasculon*	<i>peptide therapeutics for treatment of MI and I/R injury</i>	Rich Lee, Roger Kitterman
Apama*	<i>novel ablation system for treatment of AF</i>	Amr Salahieh
2010		
Allosteros	<i>CaMKII inhibitors for HF and AF</i>	Howard Schulman, Mark Anderson
Intravascular Imaging	<i>NIRF device for intracoronary artery imaging</i>	Farouc Jaffer, Vasilis Ntziachristos
Capricor*	<i>allogeneic stem cell therapy after MI</i>	Eduardo and Linda Marbán
CellAegis	<i>remote ischemic conditioning for MI</i>	Andrew Redington, Chris Calderone, Rocky Ganske
2009		
CardiAQ Valve*	<i>transcatheter mitral valve</i>	Brent Ratz, Arshad Quadri, Rob Michiels
VentriNova	<i>gene therapy for regeneration of cardiac tissue</i>	Hina Chaudhry
miRagen*	<i>microRNAs for cardiovascular and oncology indications</i>	Bruce Booth, Mike Bristow, Eric Olson

* Exited

OUR MISSION is to accelerate the development of promising technology for the diagnosis and treatment of cardiovascular and neurovascular diseases through targeted investments.



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