

ANNUAL REPORT 2017

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2017 PORTFOLIO MOMENTUM

- Our pipeline remained robust with over 260 proposals considered
- Our portfolio companies raised an aggregate of \$96M in co-investments, follow-on financing, and grants

This year, across our portfolio, our co-investors included: CTI Lifesciences, Zoll Medical, MaRS Innovation, GO Capital, Green Park and Golf, Grant Park Ventures, and Mayo Clinic Ventures

NEW INVESTMENTS

- Nido Surgical – cardioscope for minimally invasive beating heart surgery
- Mellitus – novel biomarker for the screening and diagnosis of gestational diabetes
- Adient – bioresorbable IVC filter for prevention of pulmonary embolism
- Vectorious – miniature wirelessly-powered implantable left atrial pressure sensor
- EP Sciences – novel electrical waveform to reduce pain associated with ICD therapies

EXITS & PARTNERSHIPS

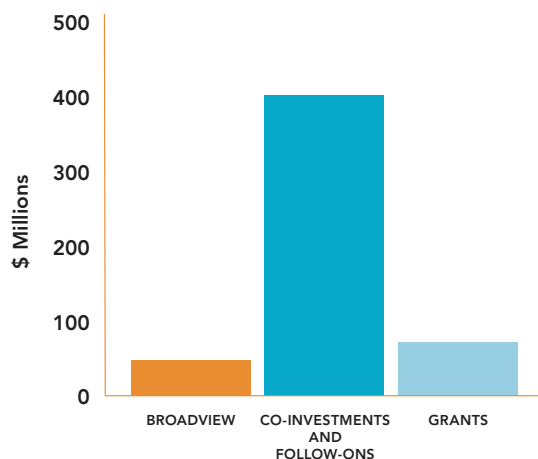
Boston Scientific acquired Apama Medical for a total of \$300M, bringing Apama's innovative 360-degree ablation technology one step closer to market

Biogen acquired Remedy Pharmaceuticals' lead asset, CIRARA, for \$120M (+ milestones), based on the robust mortality benefit shown in its Phase II GAMES-RP study

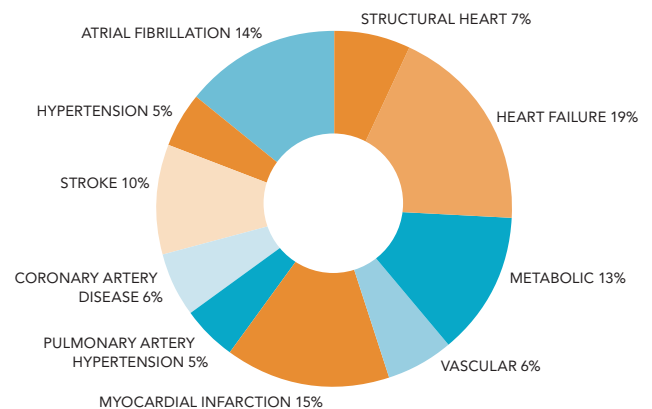
Pulmokine licensed its pulmonary arterial hypertension (PAH) program to advance clinical trials to Phase II

TALENT

- Broadview expanded its investment team by adding Tom Needham, who comes with 13 years of life science venture capital experience at Synthesis Capital and Advent International, and after serving in senior leadership positions at C4 Therapeutics and Merrimack Pharmaceuticals
- Benjamin Kreitman, a Columbia University graduate who brings management consulting experience from Monitor Deloitte, joined as an Associate



BROADVIEW'S INVESTMENTS ARE LEVERAGED 10X BY CO-AND FOLLOW-ON INVESTMENTS, AND NON-DILUTIVE GRANT FUNDING



BROADVIEW INVESTMENTS BY INDICATION

OUR MISSION In 2008, the Leducq Family Trust established Broadview Ventures to accelerate the development of promising technology for the diagnosis and treatment of cardiovascular disease and stroke through targeted investments

INVESTMENTS THROUGH 2017

Company	Technology/Therapy	Founders/Management
2017		
Nido Surgical	<i>cardioscope for minimally invasive beating heart surgery</i>	Pedro del Nido, Nikolay Vasilyev, Farzad Parsaie
Mellitus	<i>novel biomarker for the screening and diagnosis of gestational diabetes</i>	Jose Halperin, Michael Chorev, Joyce Lonergan
Adient	<i>bioresorbable IVC filter for prevention of pulmonary embolism</i>	Mitch Eggers, Joseph Steele, Mike Hogan
Vectorious	<i>miniature wirelessly-powered implantable left atrial pressure sensor</i>	Oren Goldshtein, Eyal Orion, Yair Levi
EP Science	<i>novel defibrillation waveform to reduce pain associated with ICD therapies</i>	Ron Berger, Howard Levin
2016		
FineHeart	<i>fully implantable, miniaturized circulatory support device for HF</i>	Philippe Ritter, Stephane Garrigue, Arnaud Mascarell
Gila Therapeutics	<i>PYY for management and treatment of obesity</i>	Andres Acosta, Tom Vasicek
Aeromics	<i>novel drug candidate for stroke-related cerebral edema</i>	Walter Boron, Marc Pelletier
2015		
Pulmokine*	<i>small molecule for pulmonary artery hypertension</i>	Larry Zisman, Shreefal Mehta
480 Biomedical	<i>bioresorbable stent for multiple indications</i>	Bob Langer, George Whitesides, Maria Palasis
Cardero Therapeutics	<i>mitochondrial biogenesis platform technology</i>	George Schreiner, Sundeep Dugar
2014		
ZZ Biotech	<i>peptide therapeutic for stroke</i>	Berislav Zlokovic, Kent Pryor, Joseph Romano
Ischemia Care	<i>gene-based diagnostic for stroke</i>	Jeff June
Acesion Pharma	<i>small molecule therapeutic for AF</i>	Ulrik Sørensen, Morten Grønnet, Frans Wuite
Aria CV	<i>device for pulmonary artery hypertension</i>	John Scandurra, Karl Vollmers
2013		
Zumbro Discovery	<i>peptide therapeutic for treatment of resistant hypertension</i>	John Burnett, Horng Chen
BioKier	<i>small molecule anti-diabetic treatment</i>	George Szewczyk, Roger Nolan
Remedy*	<i>small molecule for the treatment of central nervous system edema</i>	Sven Jacobson
GI Windows	<i>non-surgical approach to gastric bypass</i>	Chris Thompson, Marvin Ryou
2012		
VGS	<i>external support for saphenous vein grafts in CABG</i>	Eyal Orion
InfoBionic	<i>cloud-based remote arrhythmia monitoring</i>	Jeremy Ruskin, Stuart Long
Herantis	<i>VEG-F based gene therapy for lymphedema</i>	Pekka Simula
Declmmune	<i>MAb for treatment of post MI and I/R injury</i>	Christopher Mirabelli, Walter Newman
2011		
Cardialen	<i>implantable pain-free cardioversion for the treatment of AF</i>	Igor Efimov, Jeff Peters
NuPulse	<i>counterpulsation device for the treatment of HF</i>	Valluvan Jeevanandam, Douglas Altschuler
Aggamin	<i>novel preeclampsia treatment</i>	Ravi Thadhani, Paul Kussie, Ananth Kamuranchi
Provasculon*	<i>peptide therapeutics for treatment of MI and I/R injury</i>	Rich Lee, Roger Kitterman
Apama*	<i>novel ablation system for treatment of AF</i>	Amr Salahieh
2010		
Allosteros	<i>CaMKII inhibitors for HF and AF</i>	Howard Schulman, Mark Anderson
Intravascular Imaging	<i>NIRF device for intracoronary artery imaging</i>	Farouc Jaffer, Vasilis Ntziachristos
Capricor*	<i>allogeneic stem cell therapy after MI</i>	Eduardo and Linda Marbán
CellAegis	<i>remote ischemic conditioning for MI</i>	Andrew Redington, Chris Calderone, Rocky Ganske
2009		
CardiAQ Valve*	<i>transcatheter mitral valve</i>	Brent Ratz, Arshad Quadri, Rob Michiels
VentriNova	<i>gene therapy for regeneration of cardiac tissue</i>	Hina Chaudhry
miRagen*	<i>microRNAs for cardiovascular and oncology indications</i>	Bruce Booth, Mike Bristow, Eric Olson

* Exited

BROADVIEW TEAM

MANAGEMENT

Christopher Colecchi
Managing Director

Maria Berkman

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ADVISORS

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STRATEGIC ADVISORY BOARD

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