

ANNUAL REPORT 2018

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2018 PORTFOLIO MOMENTUM

- The Broadview pipeline continues to remain robust with over 300 investment opportunities considered in 2018
- Our portfolio companies raised \$110M in co-investments, follow-on financing, and grants. They also achieved 4 regulatory milestones and initiated 6 new clinical trials.
- The technologies of Broadview's portfolio companies have touched the lives of over 23,000 patients
- This year, our co-investors included: Polaris Innovation Fund, aMoon Fund, ZOLL Medical, CTI Lifesciences, BioStar, Catalyst Health Ventures, Massachusetts Medical Angels, and 10x Ventures

NEW INVESTMENTS

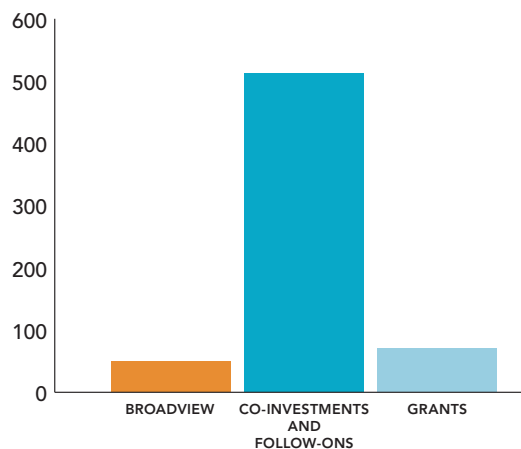
- AtaCor Medical—leadless extravascular pacing system for bradycardia
- Kantum Bio—small molecule therapeutic for cardiac surgery-associated acute kidney injury

LONGVIEW VENTURES

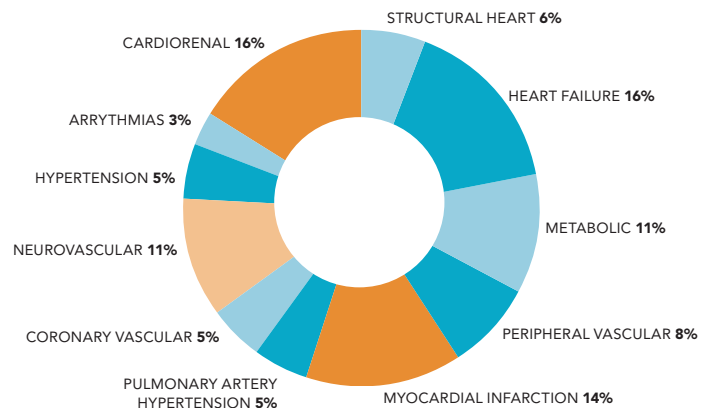
We are pleased to announce that in 2018, the Leducq Trust launched Longview Ventures — a \$100M fund over 10 years — to make follow-on investments in select Broadview portfolio companies. Longview Ventures will focus on clinical-stage companies, Series B - Series C, as a complement to Broadview's continued dedication to Seed and Series A financings. Longview Ventures investments will be vetted by a newly established independent Investment Advisory Committee, which will include Eric Rose, MD (SIGA Technologies, Mount Sinai School of Medicine), Terry McGuire (Polaris Partners), and Chris Mirabelli (HealthCare Ventures, Leap Therapeutics). Longview investments will be managed by the Broadview Ventures investment team and the proceeds will be used solely to support the mission of the Leducq Foundation.

TALENT

- David Milan, MD, joins us from his most recent position at the Massachusetts General Hospital and Harvard Medical School where he was Assistant Professor of Medicine working as both a clinician and researcher in the field of cardiac electrophysiology
- Broadview expanded its investment team by adding an Associate, Adam Cohen, who comes with experience leading life sciences strategy consulting engagements at Health Advances following Master's studies in biomedical engineering at Duke University



BROADVIEW'S INVESTMENTS ARE LEVERAGED 10X BY CO-AND FOLLOW-ON INVESTMENTS, AND NON-DILUTIVE GRANT FUNDING



BROADVIEW INVESTMENTS BY INDICATION

INVESTMENTS THROUGH 2018

Company	Technology/Therapy	Founders/Management
2018		
AtaCor Medical	leadless extravascular pacing system for bradycardia	Rick Sanghera, Sean McGeehan, Alan Marcovecchio
Kantum Bio	small molecule therapeutic for cardiac surgery-associated AKI	Sylvie Breton, Glenn Batchelder
2017		
Nido Surgical	cardioscope for minimally invasive beating heart surgery	Pedro del Nido, Nikolay Vasilyev, Farzad Parsaie
Mellitus	novel biomarker for the screening and diagnosis of gestational diabetes	Jose Halperin, Michael Chorev, Joyce Lonergan
Adient	bioresorbable IVC filter for prevention of pulmonary embolism	Mitch Eggers, Joseph Steele, Mike Hogan
Vectorious	miniature wirelessly-powered implantable left atrial pressure sensor	Oren Goldshtein, Eyal Orion, Yair Levi
EP Sciences	novel defibrillation waveform to reduce pain associated with ICD therapies	Ron Berger, Howard Levin
2016		
FineHeart	fully implantable, miniaturized circulatory support device for HF	Philippe Ritter, Stephane Garrigue, Arnaud Mascarell
Gila Therapeutics	PYY agonist for management and treatment of obesity	Andres Acosta, Tom Vasicek
Aeromics	novel drug candidate for stroke-related cerebral edema	Walter Boron, Marc Pelletier
2015		
Pulmokine*	small molecule for pulmonary artery hypertension	Larry Zisman, Shreefal Mehta
Lyra Therapeutics	bioresorbable stent for multiple indications	Bob Langer, George Whitesides, Maria Palasis
Cardero Therapeutics	mitochondrial biogenesis platform technology	George Schreiner, Sundeep Dugar
2014		
ZZ Biotech	peptide therapeutic for stroke	Berislav Zlokovic, Kent Pryor, Joseph Romano
Ischemia Care	gene-based diagnostic for stroke	Jeff June
Acesion Pharma	small molecule therapeutic for AF	Ulrik Sørensen, Morten Grunnet, Frans Wuite
Aria CV	device for pulmonary artery hypertension	John Scandurra, Karl Vollmers
2013		
Zumbro Discovery	peptide therapeutic for treatment of resistant hypertension	John Burnett, Horng Chen
BioKier	small molecule anti-diabetic treatment	George Szewczyk, Roger Nolan
Remedy*	small molecule for the treatment of central nervous system edema	Sven Jacobson
GI Windows*	non-surgical approach to gastric bypass	Chris Thompson, Marvin Ryou
2012		
VGS	external support for saphenous vein grafts in CABG	Eyal Orion
InfoBionic	cloud-based remote arrhythmia monitoring	Jeremy Ruskin, Stuart Long
Herantis	VEG-F based gene therapy for lymphedema	Pekka Simula
Declmmune	MAB for treatment of post MI and I/R injury	Christopher Mirabelli, Walter Newman
2011		
Cardialen	implantable pain-free cardioversion for the treatment of AF	Igor Efimov, Jeff Peters
NuPulse	counterpulsation device for the treatment of HF	Valluvan Jeevanandam, Douglas Altschuler
Aggamin	novel preeclampsia treatment	Ravi Thadhani, Paul Kussie, Ananth Kamuranchi
Provasculon*	peptide therapeutics for treatment of MI and I/R injury	Rich Lee, Roger Kitterman
Apama*	novel ablation system for treatment of AF	Amr Salahieh
2010		
Allosteros	CaMKII inhibitors for HF and AF	Howard Schulman, Mark Anderson
Intravascular Imaging	NIRF device for intracoronary artery imaging	Farouc Jaffer, Vasilis Ntziachristos
Capricor*	allogeneic stem cell therapy after MI	Eduardo and Linda Marbán
CellAegis	remote ischemic conditioning for MI	Andrew Redington, Chris Calderone, Rocky Ganske
2009		
CardiaIQ Valve*	transcatheter mitral valve	Brent Ratz, Arshad Quadri, Rob Michiels
VentriNova	gene therapy for regeneration of cardiac tissue	Hina Chaudhry
miRagen*	microRNAs for cardiovascular and oncology indications	Bruce Booth, Mike Bristow, Eric Olson

* Exited

BROADVIEW TEAM

MANAGEMENT

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